

09/08/03  
05909 U.S. PTO

Atty. Dkt. No. SALK3130-1  
(088802-9702)

2264 U.S. PTO  
10/658115  
09/08/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Downes et al.

Title: NON-STEROIDAL FARNESOID  
X RECEPTOR MODULATORS  
AND METHODS FOR THE USE  
THEREOF

Appl. No.: Unknown

Filing Date: Unknown

Examiner: Unknown

Art Unit: Unknown

<b>CERTIFICATE OF EXPRESS MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.	
EV 003427875US (Express Mail Label Number)	September 8, 2003 (Date of Deposit)
Mercedes F. Dipasupil (Printed Name)	
<i>Mercedes F. Dipasupil</i> (Signature)	

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Michael R. Downes  
San Diego, CA

Ronald M. Evans  
La Jolla, CA

Robert Hughes  
La Jolla, CA

K. C. Nicolaou  
La Jolla, CA

Anthony J. Roecker  
La Jolla, CA

[ X ] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (31 pages).
- ☒ Formal drawings (30 sheets, Figures 1, 2A, 2B, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30).
- ☐ Declaration and Power of Attorney (\_\_\_ pages).
- ☐ Assignment of the invention to The Salk Institute for Biological Studies.
- ☐ Assignment Recordation Cover Sheet.
- ☐ Small Entity statement.
- ☐ Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- ☐ Information Disclosure Statement.
- ☐ Form PTO/SB/08 with copies of \_\_\_ listed reference(s).
- ☒ Application Data Sheet (37 CFR 1.76).
- ☐ Claim for Convention Priority.

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total	37	- 20	= 17	x \$18.00	= \$306.00
Claims:					
Independ	1	- 3	= 0	x \$84.00	= \$0.00
ents:					
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$1186.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$593.00
				TOTAL FILING FEE:	= \$593.00

- ☐ A check in the amount of \$0.00 to cover the filing fee is enclosed.
- ☒ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

- [ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date

9/8/03

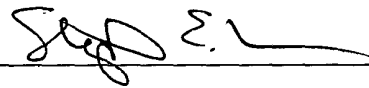
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By



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